

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.92

In Re Application Of: **Yukihiko Okumura**

OCT 14 2003

Serial No.
09/998,601

Filing Date
November 16, 2001

Examiner
Not Yet Assigned

Group Art Unit
2133

Title: **DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,
TRANSMITTER AND RECEIVER**

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Address to:
Commissioner for Patents
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Alexandria, VA 22313-1450

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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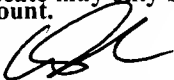
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Signature

Dated: **October 14, 2003**

Adrian J. Lee
Attorney for Applicant
Reg. No.: 42,785

CC:

10-1503

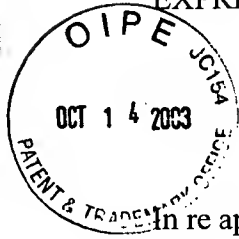
#9 11.25.03

2133

EXPRESS MAIL NO. EV 302233920 US

PATENT APPLICATION

Docket No: 15689.92



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yukihiko Okumura

Serial No.: 09/998,601

Filed: November 16, 2001

For: DATA TRANSMISSION METHOD, DATA
TRANSMISSION SYSTEM, TRANSMITTER
AND RECEIVERArt Unit
2133**RECEIVED**
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TC 2600INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**RECEIVED**

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 09238352A is provided in the English abstract of that publication.

DATED October 13, 2003.

Respectfully submitted,



ADRIAN J. LEE
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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Docket Number (Optional) 15689.92	Application Number 09/998,601
	Applicant(s) Yukihiro Okumura	
	Filing Date November 16, 2001	Group Art Unit 2133

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,638,384	10/10/1997	Hayashi et al.	371	37.1	3/12/1996

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	2	09238352A	9/9/1997	Japan	H04N7	32	✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Yukihiko Okumura**

Docket No.

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Serial No.

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Group Art Unit

2133Invention: **DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,
TRANSMITTER AND RECEIVER****OCT 14 2003****RECEIVED****OCT 27 2003**

I hereby certify that the following correspondence:

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Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (2 references)
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